

Fortune Financial Services, Inc.

Public Disclosure Notice

Privacy Policies and Practices

Fortune Financial Services, Inc is committed to maintaining the confidentiality, integrity and security of personal information entrusted to us by our current and potential financial service customers. We collect non-public, personal information about you from applications or other forms you complete as well as from your broker, so we can perform financial transactions for you.

We restrict access to non-public, personal information about you to those employees who need to know that information in order to provide products or services to you. The confidentiality of your personal information is of the utmost importance to us.

We do not disclose any non-public, personal information about our customers or former customers to anyone except as required or permitted by law. We may disclose information to non-affiliated parties as allowed by law, such as responding to a subpoena, preventing fraud, or complying with an inquiry from a government agency or regulator. Fortune Financial Services, Inc may use personal information for the purpose of offering or furnishing other services. However, names and addresses have never been and never will be given or sold to third parties for outside use.

We appreciate the opportunity to be of service in your financial needs. If you have any questions regarding our privacy policies, contact Brian L Daniels, CEO, at 724-846-2488.

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Business Continuity Plan Disclosure

In the wake of the terrorist attacks of 9/11, the American government has required Broker/Dealers to create and implement a business continuity plan. This plan is designed to explain how we would continue to function in case of a Serious Business Disruption (SBD).

Our plan anticipates two kinds of SBD's, internal and external. Internal SBD's affect only our firm's ability to communicate and do business, such as a fire in our building. Our firm can give assurances that we have arranged for business to continue at an alternative location (complete with files and communications) both by mail and telephone with 24-hours.

External SBD's will be addressed according to their severity. War, terrorist attack or other severe SBD's are addressed in our complete business continuity plan, a copy of which can be obtained by writing our home office at "1010 Third Avenue, New Brighton, PA 15066" or may be downloaded from our Web site by visiting <http://www.fortunefinancialservices.com/agent-forms/bcp.pdf>.

FINRA Broker Check

FINRA BrokerCheck is a free online tool to help investors check the professional background of current and former FINRA-registered securities firms and brokers. It should be the first resource investors turn to when choosing whether to do business with a particular broker or brokerage firm. Information for clients regarding FINRA BrokerCheck is available as follows:

FINRA BrokerCheck's hotline number: 800-289-9999

FINRA BrokerCheck's Website address:

<http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/index.htm>

There is an investor brochure available, entitled "Putting Investors First: Your Guide to FINRA and its Investor Services." This can be found at the following Web address:

<http://www.finra.org/web/groups/investors/@inv/@protect/documents/investors/p014341.pdf> or by calling the hotline number above.